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101262/42

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APPLICATION
FOR
UNITED STATES OF AMERICA

SPECIFICATION

TO ALL WHOM IT MAY CONCERN:

Be it known that I,

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have invented certain improvements in

“SECURITY ELEMENT FOR DOCUMENTS IN GENERAL AND
PARTICULARLY FOR BANKNOTES, SECURITY CARDS AND THE
LIKE”

of which the following description in connection with the accompanying
drawings is a specification, like reference characters on the drawings
indicating like parts in the several figures.

The present invention relates to a security element for documents in general and particularly for banknotes, security cards and the like.

Background of the Invention

WO 2004/014665, assumed included herein by reference, discloses a
5 security element for documents in general and particularly for banknotes, security cards and the like, which has a support layer, generally made of polyester, on one face whereof there is a layer of metallic material, usually aluminum.

Regions are provided on the metallic layer which, by means of the
10 removal of material, form characters or otherwise elements that are optically visible due to the fact that said regions have a different optical density.

In said Application, it is noted that the regions may have a thickness greater than 25% of the thickness of the surrounding regions.

Although this characteristic is extremely valid from a conceptual
15 standpoint, it has been found to be susceptible of improvement in order to obtain regions of reduced thickness that are even more clearly visible for the user, accordingly allowing easy and unambiguous identification of said regions.

Summary of the Invention

20 The aim of the invention is to solve the problem described above by providing a security element for documents in general and particularly for banknotes, security cards and the like, in which the reduced-thickness regions may appear, for anyone viewing the security element in transmitted light, to be completely metal-free, thus fooling any counterfeiter who will be
25 led to remove totally the metal thickness in said regions, consequently obtaining a product whose non-authenticity is particularly easy to verify.

Within this aim, an object of the invention is to provide a security element in which the use of particularly strict operational criteria leads to the possibility to obtain a product that has extremely reduced tolerances.

30 Another object of the present invention is to provide a security

element that, by acting on the thicknesses of the reduced-thickness regions, allows to create an additional type of optical differentiation for said regions.

Another object of the present invention is to provide a security element that can be obtained simply and safely and is further competitive
5 from a merely economical standpoint.

This aim and these and other objects that will become better apparent hereinafter are achieved by a security element for documents in general and particularly for banknotes, security cards and the like, which comprises a flexible support layer that has, on at least one face, a layer of metallic
10 material, characterized in that said layer of metallic material has a substantially uniform thickness with a tolerance of less than $\pm 4\%$, and in that on said metallic layer at least regions are provided having a metal thickness that is less than 25% and more than 1% of the thickness of the layer of metallic material of the surrounding portions.

15 **Brief Description of the Drawings**

Further characteristics and advantages of the invention will become better apparent from the description of a preferred but not exclusive embodiment of a security element for documents in general and particularly for banknotes, security cards and the like, illustrated by way of non-limiting
20 example in the accompanying drawings, wherein:

Figure 1 is a schematic plan view of an embodiment of the security element according to the invention;

Figure 2 is a sectional perspective view of the security element according to the invention;

25 Figure 3 is a plan view of a portion of the security element, shaped like a ribbon or thread;

Figure 4 is a highly enlarged-scale sectional view of the security element;

Figure 5 is a sectional view of the security element after performing a
30 first demetallization step;

Figure 6 is a schematic view of the masking of the areas that have undergone a first demetallization step;

Figure 7 is a schematic view of the security element after performing a second demetallization step.

5 **Description of the preferred embodiments**

With reference to the figures, the security element for documents in general and particularly for banknotes, security cards and the like according to the invention, generally designated by the reference numeral 1, comprises a flexible support layer 2, preferably made of polyester and the like.

10 The support layer may assume any shape deemed appropriate and may be obtained as a ribbon, thread or patch or in any case with any of the configurations typically used in the provision of security elements.

A layer of metallic material 3 that has the characteristic of having a highly uniform thickness, with a tolerance of up to $\pm 1\%$, is provided on
15 the support layer 2 on one of its faces, but optionally also on both faces.

The uniformity of the thickness of the layer is an essential element in order to be able to obtain, as will become better apparent hereinafter, reduced-thickness regions that have particularly low thickness values.

To achieve a considerable uniformity in thickness it is possible, for
20 example, to perform deposition by means of a metallizer that is capable of depositing the aluminum in vacuum by means of two or more series of crucibles installed in two contiguous vacuum chambers.

The two series of crucibles are arranged differently and offset by half the distance between them, and therefore it is possible to perform one
25 metallization for each pass, depositing 1 optical density for each series of crucibles, thus obtaining a total layer of 2 optical density, with a tolerance of approximately 1%, so that values with a difference at the most of 0,02 optical density are achieved on the finished product.

A particularity of the invention further consists in that the thickness
30 of the metal 3, in at least some regions 4, is reduced so as to assume a

thickness that is less than 25% and more than 1% of the thickness of the metallic layer of the surrounding portions.

By using a layer thickness of 1.8 optical density, the reduced-thickness regions accordingly have a thickness comprised between 0.45 and
5 0.018 optical density.

With this solution, metallic continuity is maintained over the entire surface of the support layer, and the distinctive elements, which can be constituted by characters, indicia and the like, are immediately detectable by anyone viewing the thread in transmitted light.

10 The metallic layer may be obtained by means of aluminum metallized in vacuum or other metals such as chromium, lithium, copper or a combination of these metals.

Partial removal allows to maintain metallic continuity while having a different amount of metal between the regions where partial removal has
15 occurred and the regions where removal has not occurred, allowing to obtain a visually verifiable element.

In a typical example of embodiment, the support layer is constituted by polyester, with a thickness comprised between 8 and 40 μm , which is metallized on one of its sides by means of a vacuum metallizer, depositing a
20 layer of aluminum of 1.8 optical density.

To perform demetallization, a highly transparent ink, designated by the reference numeral 10 in the drawing and adapted to protect the aluminum against acid or basic substances, is printed onto the aluminum layer by means of normal printing operations; said inks are for example
25 microcellulose inks with the addition of a catalyst or in any case of a hardening agent in a percentage equal to approximately 1%.

Once the masking layer has been applied, leaving free the regions where the layer is to be removed, in order to demetallize the polyester film on which it is printed, the metallic layer is passed through a tank that
30 contains 52-54% phosphoric acid at a temperature of $46\text{ }^{\circ}\text{C} \pm 0.1\text{ }^{\circ}\text{C}$ with a

retention time of approximately 20-25 seconds.

To obtain an optimum product, it is important that the temperature of the acid can be controlled with an interval on the order of 0.1 °C, and the titer of the acid must be controlled with centesimal precision, so as to be
5 able to obtain demetallized areas that have a thickness that can reach 1% of the adjacent non-demetallized areas.

The film is then washed in a tank by using water, and at the exit from the tank the film is immersed in a tank with a buffer solution constituted by water and 3-5% ammonia.

10 The treated film is then abundantly rinsed, keeping the pH values constant in each tank.

At the exit from the last tank, the film is subjected to gentle wringing by means of rubber-coated rollers and is then passed through a hot air tunnel at a rate of 40 meters per minute and at a temperature of approximately 90-
15 100 °C.

The described method allows to obtain security threads on which the deposited aluminum has been removed partially, bringing it for example from 1.8 optical density to 0.07 optical density in the regions where the ink has not been printed.

20 As shown in Figures 3 to 7, it is also possible to provide on the thread demetallized regions that have a different thickness.

Substantially, it is possible to obtain first regions, designated by the reference numeral 20, that have a first thickness reduction, and second regions 30 that have a second thickness reduction.

25 To provide this characteristic, after performing a first demetallization, obtained by applying a first protective layer 10 with free regions for acid etching, a second protective layer, designated by the reference numeral 11, is applied to some of the first demetallized regions 20 and an additional demetallization step is performed, producing the second regions 30, whose
30 thickness is different both from the first regions and from the surrounding

regions.

In this way it is possible to have elements or characters that are visible in transmitted light on the thread and have two different shades, thus providing an additional type of security element.

5 From what has been described above, it is thus evident that the invention achieves the intended aim and objects, and in particular the fact is stressed that by using a security element in which uniformity of deposition can be controlled with extremely high precision it is possible to provide regions in which the thickness of the metal is particularly low, thus
10 providing a metallic layer that is so thin that it can be practically likened to a space in which the metal is not provided, while however continuing to maintain the metallic continuity of the metal layer.

Moreover, the use of distinct regions having a reduced thickness with respect to the surrounding regions and with mutually different thicknesses
15 allows to provide another security characteristic thanks to the fact that the regions, when viewed in transmitted light, appear to have mutually different shades.

It should be added to the above that it is possible to provide, on one or both faces of the support layer, fluorescent substances that have a solid or
20 discontinuous background, holographic images with a solid or discontinuous background, continuous magnetic substances, which provide for example lateral bands or discontinuous substances that form codes; it is also possible to provide refractive or color-shifting substances.

The invention thus conceived is susceptible of numerous
25 modifications and variations, all of which are within the scope of the appended claims.

Moreover, it should be added to the above that the ratio between the reduced-thickness surface and the full-thickness surface may be changed in any way, and therefore it is also optionally possible to provide the visually
30 detectable characters by means of the full-thickness regions.

In the above examples of embodiments, individual characteristics, given in relation to specific examples, may actually be interchanged with other different characteristics that exist in other examples of embodiments.

Moreover, it is noted that anything found to be already known during
5 the patenting process is understood not to be claimed and to be the subject of a disclaimer.

In practice, the materials used, as well as the contingent shapes and dimensions, may be any according to requirements.

The disclosures in Italian Patent Application no. MI2004A000984,
10 from which this application claims priority, are incorporated herein by reference.